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NOTICE OF ALLOWANCE AND FEE(S) DUE

21005

7590

01/09/2009

HAMILTON, BROOK, SMITH & REYNOLDS, P.C. 530 VIRGINIA ROAD P.O. BOX 9133 CONCORD, MA 01742-9133

EXAMINER

VANOY, TIMOTHY C

ART UNIT PAPER NUMBER

1793 DATE MAILED: 01/09/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/565,353	03/23/2006	Daniel Alvarez JR.	31941019009	5574

TITLE OF INVENTION: HYDRIDE GAS PURIFICATION FOR THE SEMICONDUCTOR INDUSTRY

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	04/09/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where m

appropriate. All further indicated unless correct maintenance fee notification.	ted below or directed of	ng the Patent, advance of herwise in Block 1, by (rders and notification of a) specifying a new cor	f maintenance fees respondence addres	will be s; and/or	mailed to the current r (b) indicating a sepa	correspondence address as trate "FEE ADDRESS" for
CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) 21005 7590 01/09/2009 HAMILTON, BROOK, SMITH & REYNOLDS, P.C. 530 VIRGINIA ROAD P.O. BOX 9133			Fe	Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.			
			P.C. I				
CONCORD, M.	A 01742-9133		Γ				(Depositor's name)
							(Signature)
							(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	OR	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
10/565,353	03/23/2006	•	Daniel Alvarez JR.			31941019009	5574
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nonprovisional	NO	\$1510	\$300	\$0		\$1810	04/09/2009
	MINER	ART UNIT	CLASS-SUBCLASS				
	IMOTHY C lence address or indication	1793	423-210000 2. For printing on the		_		
CFR 1.363). Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.			or agents OR, alterna (2) the name of a sir registered attorney o 2 registered patent a listed, no name will	name of a single firm (having as a member a ed attorney or agent) and the names of up to ered patent attorneys or agents. If no name is no name will be printed.			
PLEASE NOTE: Un recordation as set for (A) NAME OF ASSI	lless an assignee is ident th in 37 CFR 3.11. Com GNEE	pletion of this form is NC	data will appear on the T a substitute for filing a (B) RESIDENCE: (CI	patent. If an assign assignment. TY and STATE OR	COUNT	TRY)	ocument has been filed for
Please check the appropr	riate assignee category or	r categories (will not be p	rinted on the patent):	Individual 🗖	Corporati	on or other private gro	oup entity 🔲 Government
4a. The following fee(s) are submitted: ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies			 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) A check is enclosed. Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number (enclose an extra copy of this form). 				
5. Change in Entity Sta	ntus (from status indicatens SMALL ENTITY state		☐ b. Applicant is no le	ongor alaiming SM	ALL ENT	FITY status, See 27 CI	EB 1 27(a)(2)
NOTE: The Issue Fee an	nd Publication Fee (if req		ed from anyone other that				e assignee or other party in
				Data			
Authorized Signature Typed or printed name							
Typed or printed name This collection of information is required by 37 CFR 1.311. The information is				_			
an application. Confident submitting the complete this form and/or suggest	ntiality is governed by 35 application form to the cions for reducing this but Virginia 22313-1450. DO	5 U.S.C. 122 and 37 CFR c USPTO. Time will vary orden, should be sent to the	1.14. This collection is depending upon the industrial depending the industrial of the control	estimated to take 12 dividual case. Any (icer, U.S. Patent an	2 minutes comment d Traden	s to complete, including ts on the amount of tir nark Office, U.S. Depa	g gathering, preparing, and me you require to complete artment of Commerce, P.O. for Patents, P.O. Box 1450,

PTOL-85 (Rev. 08/07) Approved for use through 08/31/2010.

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			ART UNIT	PAPER NUMBER	
P.O. BOX 9133 CONCORD, MA ()1742-9133		1793 DATE MAILED: 01/09/200	9	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 354 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 354 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)				
	10/565,353	ALVAREZ ET AL.				
Notice of Allowability	Examiner	Art Unit				
	TIMOTHY C. VANOY	1793				
	TIMOTHY C. VANOY	1793				
The MAILING DATE of this communication apperature All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT R of the Office or upon petition by the applicant. See 37 CFR 1.313	(OR REMAINS) CLOSED in the or other appropriate communic IGHTS. This application is subj	is application. If not included action will be mailed in due course. THIS				
1. X This communication is responsive to the Remarks and Am	endment filed on Dec. 22, 2008	3.				
2. The allowed claim(s) is/are <u>1-5 and 7-25</u> .						
3. ☐ Acknowledgment is made of a claim for foreign priority una) ☐ All b) ☐ Some* c) ☐ None of the: 1. ☐ Certified copies of the priority documents have	e been received.	,				
2. Certified copies of the priority documents have	• • •					
3. Copies of the certified copies of the priority do	cuments have been received in	this national stage application from the				
International Bureau (PCT Rule 17.2(a)).						
* Certified copies not received:						
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONN THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		reply complying with the requirements				
4. A SUBSTITUTE OATH OR DECLARATION must be subm INFORMAL PATENT APPLICATION (PTO-152) which give						
5. CORRECTED DRAWINGS (as "replacement sheets") must be submitted.						
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached						
1) 🔲 hereto or 2) 🔲 to Paper No./Mail Date						
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date						
Identifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in t						
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.						
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5 □ Notice of Inform	nal Patent Application				
2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)	6. ☐ Interview Sumi	• •				
3. ☐ Information Disclosure Statements (PTO/SB/08),		il Date				
Paper No./Mail Date						
4. Examiner's Comment Regarding Requirement for Deposit of Biological Material		atement of Reasons for Allowance				
Timethy C Vanovi	9.					
/Timothy C Vanoy/ Primary Examiner, Art Unit 1793						

REASONS FOR ALLOWANCE

The following is an examiner's statement of reasons for allowance: Applicants' independent claims 1, 20 and 24 have been allowed because these claims have limited the composition to comprise 3 to 20 % by weight of a metal oxide from the lanthanide series and this weight percentage is not taught or suggested in US 2001/0012502 A1 to Okumura et al. Applicants' independent claim 25 has been allowed because the claim is limited to the combination of lanthanum oxide or cerium oxide as well as zirconium or an oxide thereof, and this particular combination is not taught or suggested in US 2001/0012502 A1 to Okumura et al.

Any comments considered necessary by the Applicants must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance.".

RESPONSE TO 116 AMENDMENT

The 116 Amendment filed on Dec. 22, 2008 has been entered.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to TIMOTHY C. VANOY whose telephone number is (571)272-8158. The examiner can normally be reached on Mon-Fri 8-4:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Stanley Silverman, can be reached on 571-272-1358. The fax phone

Application/Control Number: 10/565,353 Page 3

Art Unit: 1793

number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Timothy C Vanoy Primary Examiner Art Unit 1793

tcv

/Timothy C Vanoy/ Primary Examiner, Art Unit 1793